

# Groupoids in Analysis

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Let  $G$  be a group. We will generally assume two things:

- $G$  is equipped with a (Hausdorff) topology making multiplication and inversion continuous.
- This topology is locally compact.

We call such a  $G$  a **locally compact topological group**.

## Example

Some examples:

- $\mathbb{R}$  (or  $\mathbb{R}^n$ ) with the usual Euclidean topology.
- $\mathbb{Z}$  with the discrete topology.
- $GL_n(\mathbb{R})$  with the topology inherited from  $\mathbb{R}^{n^2}$ .

# Transformation Groups

Recall that a group  $G$  **acts** on a set  $X$  if there is a map

$$G \times X \rightarrow X, \quad (g, x) \mapsto g \cdot x$$

such that

- 1  $e \cdot x = x$  for all  $x \in X$ ,
- 2  $g_1 \cdot (g_2 \cdot x) = (g_1 g_2) \cdot x$ .

Assume that  $X$  is a locally compact Hausdorff space. If the map  $(g, x) \mapsto g \cdot x$  is continuous, then we say that the action of  $G$  on  $X$  is continuous.

## Definition

*If  $G$  acts continuously on  $X$ , the pair  $(G, X)$  is called a **transformation group**.*

## Example: Irrational rotation

- Let  $X = \mathbb{T}$  be the unit circle in  $\mathbb{C}$ .
- Let  $\theta \in [0, 1]$  be an irrational number.
- For  $n \in \mathbb{Z}$  and  $z \in \mathbb{T}$ , define

$$n \cdot z = e^{2\pi in\theta} z.$$

- This is a continuous action, so  $(\mathbb{Z}, \mathbb{T})$  is a transformation group.
- What does the action actually look like?

# Example: Irrational rotation (continued)

# So...Where's the Analysis?

Let's assume that  $X$  is a compact Hausdorff space. People like me are interested in

$$C(X) = \{f : X \rightarrow \mathbb{C} : f \text{ is continuous}\}.$$

What sort of object is this?

- Vector space **and** ring  $\implies \mathbb{C}$ -algebra.
- Norm: for  $f \in C(X)$ ,

$$\|f\|_{\infty} = \sup_{x \in X} |f(x)|$$

$\implies$  (complete) metric space.

## So...Where's the Analysis?

Now define  $f^* \in C(X)$  by

$$f^*(x) = \overline{f(x)}.$$

Then  $*$  :  $C(X) \rightarrow C(X)$  is an *involution* (i.e.,  $(f^*)^* = f$ ).

Moreover:

$$\|f^* f\|_\infty = \sup_{x \in X} |f^*(x)f(x)| = \sup_{x \in X} |f(x)|^2 = \|f\|_\infty^2.$$

These properties together say that  $C(X)$  is an example of a (commutative)  $C^*$ -**algebra**.

Suppose that  $(G, X)$  is a transformation group with  $X$  compact.

- Then  $G$  acts on  $C(X)$  as well: for  $g \in G$ , define  $\alpha_g$  by

$$\alpha_g(f)(x) = f(g^{-1} \cdot x)$$

for all  $f \in C(X)$ .

- Then  $\alpha_g$  is an automorphism of  $C(X)$ .
- The map  $\alpha : G \rightarrow \text{Aut}(C(X))$  given by

$$g \mapsto \alpha_g$$

is a continuous group homomorphism.

## Definition

*The triple  $(C(X), G, \alpha)$  is a  $C^*$ -dynamical system.*



- This is really a special case: if  $A$  is a  $C^*$ -algebra,  $G$  is a LC group, and  $\alpha : G \rightarrow \text{Aut}(A)$  is a continuous homomorphism, then we call  $(A, G, \alpha)$  a  $C^*$ -dynamical system.
- Given a  $C^*$ -dynamical system, we can build a new  $C^*$ -algebra

$$A \rtimes_{\alpha} G,$$

called the **crossed product** of  $A$  by  $G$ . We won't discuss how.

But...what is it good for?

- ① The crossed product encodes information about  $A$ ,  $G$ , and the dynamics.
- ② It gives an interesting way of building new examples of  $C^*$ -algebras.

## Example: Irrational rotation algebras

Let  $(\mathbb{Z}, \mathbb{T})$  be the transformation group associated to rotation by  $2\pi\theta$ , where  $\theta$  is irrational.

- We get an action  $\tau$  of  $\mathbb{Z}$  on  $C(\mathbb{T})$ , and the crossed product

$$A_\theta = C(\mathbb{T}) \rtimes_\tau \mathbb{Z}$$

is called an **irrational rotation algebra**.

- These were very popular in the 1980's, since they have some interesting properties:
  - 1 They are all **simple** (i.e., no nontrivial closed ideals).
  - 2 If  $\theta_1, \theta_2 \in [0, 1/2]$ , then  $A_{\theta_1} \cong A_{\theta_2}$  iff  $\theta_1 = \theta_2$ .

# Groupoids

A groupoid is essentially a group, but the multiplication is not defined everywhere. It consists of:

- A set  $G$ .
- A set  $G^{(2)} \subset G \times G$  and a map  $G^{(2)} \rightarrow G$  (multiplication) given by

$$(\gamma, \eta) \mapsto \gamma\eta.$$

- Multiplication is associative whenever the products “make sense.”
- A map  $G \rightarrow G$  given by  $\gamma \mapsto \gamma^{-1}$  (inversion), where

$$\gamma^{-1}(\gamma\eta) = \eta \quad \text{and} \quad (\gamma\eta)\eta^{-1} = \gamma$$

whenever  $(\gamma, \eta) \in G^{(2)}$ .

- **Topological groupoid:** multiplication and inversion are continuous.

# Groupoids (continued)

The role of the “identity” (or “unit”) is played by the **unit space** of a groupoid:

$$G^{(0)} = \{u \in G : u = u^{-1} = u^2\}.$$

There are always two maps from  $G$  onto  $G^{(0)}$ , called the **range** and **source maps**:

$$r(\gamma) = \gamma\gamma^{-1} \quad \text{and} \quad s(\gamma) = \gamma^{-1}\gamma$$

We can think of  $r(\gamma)$  and  $s(\gamma)$  as left and right “identities” for  $\gamma$ :

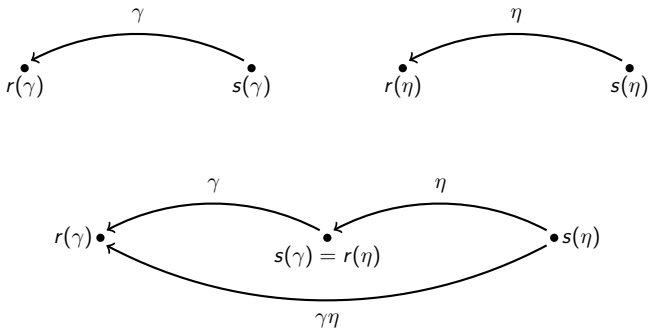
$$r(\gamma)\gamma = \gamma \quad \text{and} \quad \gamma s(\gamma) = \gamma$$

# Groupoids (continued)

The range and source maps tell us when two groupoid elements can be composed:

$$(\gamma, \eta) \in G^{(2)} \iff s(\gamma) = r(\eta)$$

We can even think of groupoid elements as “arrows” between units:



# Groupoid Examples

Groupoids generalize lots of structures with which you are familiar.

- **Groups!** If  $G$  is a group, then

$$G^{(2)} = G \times G \quad \text{and} \quad G^{(0)} = \{e\}.$$

Multiplication and inversion are the usual group operations.

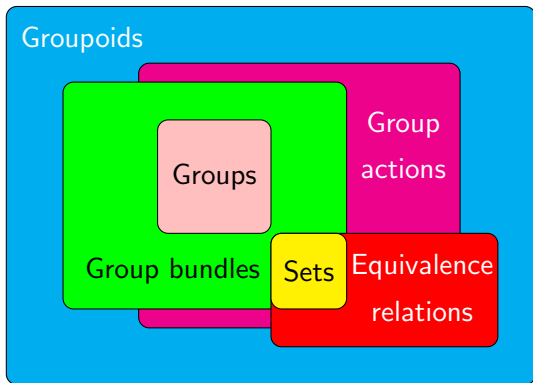
- **Sets!** If  $X$  is any set, then  $X \times X$  is a groupoid:

$$\begin{aligned} ((x, y), (z, w)) \in (X \times X)^{(2)} &\iff y = z \\ (x, y)(y, z) &= (x, z) \\ (x, y)^{-1} &= (y, x) \end{aligned}$$

$X \times X$  is called the **pair groupoid** of  $X$ .

- **Equivalence relations!**

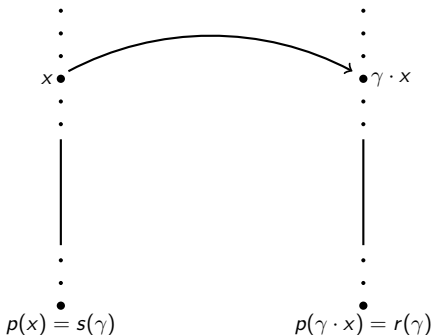
# Groupoid Examples



# Groupoid actions

As with groups, groupoids can act on sets (or topological spaces).

- The action is only “partially defined.”
- Let  $X$  be a set with a surjective map  $p : X \rightarrow G^{(0)}$ . Then  $\gamma \in G$  can only act on  $x \in X$  if  $p(x) = s(\gamma)$ .





Groupoids can act on certain kinds of  $C^*$ -algebras, called  $C_0(G^{(0)})$ -algebras.

- If a locally compact Hausdorff groupoid acts on a  $C^*$ -algebra  $A$  via some action  $\alpha$ , we call the triple  $(A, G, \alpha)$  a **groupoid dynamical system**.
- As with groups, we can form a new  $C^*$ -algebra, called the **groupoid crossed product**:

$$A \rtimes_{\alpha} G.$$

- I'm interested in the following type of question: if  $A$  and  $G$  have “nice” properties, then does  $A \rtimes G$  have similar “nice” properties?

There are two properties that  $C^*$ -algebras can have which are very desirable: **nuclearity** and **exactness**. (Both are related to tensor products of  $C^*$ -algebras.)

## Theorem (L., 2012)

*If  $A$  is a nuclear  $C^*$ -algebra and  $G$  is an amenable groupoid, then  $A \rtimes G$  is nuclear.*

## Theorem (L., 2013)

*If  $A$  is an exact  $C^*$ -algebra and  $G$  is an amenable groupoid, then  $A \rtimes G$  is exact.*

Both of these results generalize theorems for *group* crossed products that have been known for some time.

# Thank you!

Questions?

